

West Virginia
Clean Water
State Revolving Fund



FY 2011 Annual Report

July 1, 2010 – June 30, 2011

**Submitted to the
U.S. Environmental Protection Agency
Region III
September 30, 2011**



west virginia department of environmental protection

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Section I

Introduction

This submittal of the 20th annual report to the U.S. Environmental Protection Agency describes the operation of the West Virginia Water Pollution Control Revolving Fund, commonly referred to as the “Clean Water” State Revolving Fund (CWSRF). This report details the CWSRF activities in state fiscal year 2011 (July 1, 2010 - June 30, 2011) and the progress made in meeting the goals and objectives for the program outlined in the FY2011 Intended Use Plan. This report summarizes all financial transactions of the CWSRF, including binding commitments, loans, disbursements, repayments of principal and interest, and investments.

Section II

Executive Summary

The West Virginia Department of Environmental Protection (DEP) has received 22 capitalization grant awards and amendments from EPA (Exhibit 1). As required by federal law, the required minimum 20% state match has been deposited on or before the dates of the federal payments contained within each grant award.

During FY2011, there were 12 loan/bond agreements issued on Section 212 publicly owned wastewater projects with a cumulative loan amount of \$51,755,240. There was one agreement issued to a Section 319 nonpoint source project with a cumulative loan amount of \$726,160. There were 5 loans made to Section 319 nonpoint source projects under the Agriculture Water Quality Loan Program worth \$261,033. There were 25 loans made to individual homeowners worth \$151,738 under the Onsite Systems Loan Program. Exhibit 2c shows a summary of point and nonpoint source loan transactions. The loans under the Ag and OSLP programs were made by intermediary lenders participating with DEP in these two programs. The FY2011 net binding commitments totaled \$52,973,410 (Exhibit 2a). The DEP did not use any federal grant funds for program administration in FY2011.

For fiscal years 1991 through 2011, the CWSRF has now issued a grand total of \$794,206,453 in binding commitments, which includes municipal projects, nonpoint source projects and prior DEP administrative costs, which are no longer used by the CWSRF program from the capitalization grants.

At the end of FY2011 (June 30, 2011), the CWSRF program was in compliance with all federal requirements regarding state matching funds and the 120% federal binding commitment requirement (Exhibit 5).

Section
III

Goals and Accomplishments

The goals are re-stated from the FY2011 Intended Use Plan with the DEP response following:

A. Long term goals

- 1. Expand CWSRF accessibility by creating new financial assistance programs to address NPS pollution control problems. Evaluate opportunities within the West Virginia Watershed Management Framework process and participate in other program areas to determine if other funding opportunities are viable.**

DEP Response: There were no new NPS activities initiated during the fiscal year.

- 2. Ensure the CWSRF program operates in perpetuity at its maximum level to provide financial assistance to local entities. The objectives to accomplishing this goal are to conduct financial capability reviews on all potential loan recipients, monitor repayment activity, maximize investment opportunities, and use EPA's financial planning model to evaluate the long-term effects of CWSRF policies.**

DEP Response: Financial reviews were performed on all applications submitted to the Infrastructure and Jobs Development Council. The Water Development Authority continued to monitor repayment activity on all loans and took appropriate action, when necessary, to resolve any financial deficiencies. The Municipal Bond Commission's report on deficiencies and defaults can be found in Exhibit 4.

- 3. Integrate CWSRF program into DEP's Watershed Management Framework to increase program effectiveness and target CWSRF resources toward higher priority watersheds and assist other programs in public outreach efforts.**

DEP Response: CWSRF personnel continue to meet internally with watershed management personnel to identify high priority watersheds and where CWSRF resources can be helpful toward resolving problems. The CWSRF closed on loans totaling \$10,922,697 that went toward Chesapeake Bay projects this fiscal year.

- 4. Market the CWSRF program throughout the state to increase commitment of funds and maintain program pace. Marketing objectives will include articles on program activities, press releases, and participation in meetings of federal and state associations concerned with water quality, health, and economic issues.**

DEP Response: This goal was met in 2011.

5. Participate in the monthly meetings of the IJDC by performing technical reviews on all proposed sewer projects and coordinate and recommend the most feasible funding sources.

DEP Response: 46 applications and preliminary engineering reports submitted to the West Virginia Infrastructure and Jobs Development council were reviewed for technical feasibility and financial affordability during FY 2011.

6. Incorporate EPA's strategic plan program activity measures into the CWSRF program implementation.

Objective 1 - Achieve a targeted fund utilization rate of 100% (cumulative dollar amount of loan agreements divided by cumulative amount available for projects).

Objective 2 – Consider using an integrated planning and priority system to make CWSRF funding decisions.

Objective 3 – Monitor number and dollar value of projects financed with CWSRF loans to prevent polluted runoff from NPS areas.

Objective 4 – Monitor rate of return on federal investment (cumulative dollar amount of assistance disbursements to projects divided by cumulative federal outlays for projects).

DEP Response: The FY 2011 fund utilization rate during FY 2011 was 96%. An integrated priority system may not be a benefit to the program; however, the CWSRF program will be evaluating the existing system next year. The agricultural loans issued under the nonpoint source program increased from FY 2010 levels.

7. Develop effective wastewater management in rural, low income West Virginia communities by participating in groups to develop management ideas and programs, encourage changes/collaboration at the county level, and investigate ways to create new funding opportunities for low income, unsewered communities.

DEP Response: The continued definition of a decentralized system as a categorically "green" project allowable under the "green reserve" funds has allowed the CWSRF to continue to push projects in the state's rural, low income communities forward. In Lincoln County, another 24 onsite systems were funded using green reserve funds in the form of debt forgiveness.

B. Short term goals for FY2011

1. Continue outreach efforts on new potential loan recipients by contacting potential loan recipients who have obtained a CWSRF funding recommendation from the IJDC and monitoring the project through construction.

DEP Response: This goal was accomplished.

2. Review the current level of success of the Onsite Systems Loan Program by reviewing the monthly financial reports and consider making changes in the program as necessary.

DEP Response: Several meetings have been held with the West Virginia Housing Development Fund, DEP accountants, and CWSRF staff to discuss potential improvements in the program.

3. Achieve the targeted fund utilization rate “pace” goal of 95% in FY2011. Program pace is defined by EPA as the cumulative loan assistance provided divided by the total amount of funds available. Loan assistance is defined as the cumulative assistance provided by executed loan and bond agreements (does not include preliminary binding commitment letters). The CWSRF will do everything it can to convert the existing preliminary binding commitment letters to actual signed loan agreements in a timely manner.

DEP Response: The cumulative utilization rate was 96% at the end of FY 2011.

4. Complete environmental benefits “one-pagers” for all Section 212 and Section 319 loans closed during FY2011.

DEP Response: This goal was accomplished.

5. Participate in the State Continuing Planning Process initiated by the WWDA and the Infrastructure and Jobs Development Council.

DEP Response: This goal was accomplished. The system started online during July 2011.

C. Environmental Results

During FY 2011 58 entities received CWSRF disbursements totaling approximately 64.1 million dollars. The majority of the funding continues to be used for actual construction while the remaining portion was used for planning, design, and administrative expenses related to the projects.

West Virginia’s CWSRF program provides financial assistance to improve and maintain water quality throughout the State. The projects financed are vital in protecting and improving water quality in rivers, lakes, and streams throughout the State used for drinking water, recreation, cold water fisheries, warm water fisheries, wildlife use, industrial use, and agricultural use.

During FY 2011, the CWSRF program contributed to the joint State/EPA mission of achieving clean and safe water by using the following goals:

- Eliminate sewage overflows from combined sewer overflows (CSOs) and from separate sewer system overflows (SSOs),
- Improve and protect designated uses of water bodies, and

- Achieve and maintain CWA compliance.

Of the loans closed during FY 2011, \$16.7 million went to helping systems achieve compliance with standards and \$15.0 million assisted communities with improving impaired water bodies (see graphs below). The following are examples of the types of projects that closed loans with the CWSRF program.

Combined Sewer Overflows (CSOs)

City of Princeton

The City of Princeton owns and operates a wastewater collection and treatment system that serves approximately 4,100 residential, commercial, and industrial customers in and around the City of Princeton, located in Mercer County, West Virginia. The City also provides wastewater treatment for customers in the Oakvale Road Public Service District and Green Valley Glenwood Public Service District.

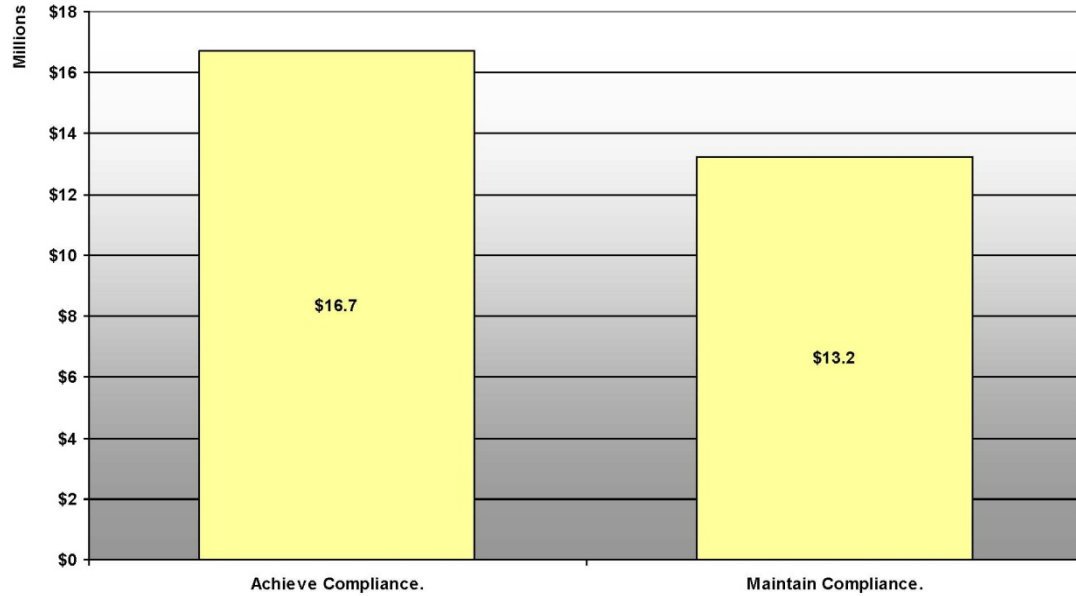
Wastewater is conveyed to the existing treatment plant by an interceptor sewer that was constructed in the early 1970's. Princeton's wastewater collection system is primarily a separate sanitary sewer system; however some areas collect stormwater as well as sanitary flows. As a result, the system is classified as a combined sewer system and one combined sewer overflow (CSO) exists at the wastewater treatment plant. Overflows from this outlet currently receive primary treatment and disinfection.

The existing suspended growth activated sludge wastewater treatment plant is designed to treat an average daily flow of 3.6 million gallons per day (MGD) and discharges treated wastewater to Brush Creek of the Bluestone River.

The CWSRF issued \$9.1 million to the City for improvements to the existing First Street Pump Station and the Plant Pump Station and treatment plant improvements which will increase the secondary treatment capacity to 4.9 MGD (average design flow) and 8.4 MGD (peak hourly flow) and will provide primary treatment for peak hourly flows of 12.0 MGD.

The improvements are necessary to reduce the number of CSO events and the volume of wastewater discharged with only primary treatment. The treatment system reliability will be improved through upgrades to the standby power system and replacement of aging equipment. Without the proposed project, approximately 21 million gallons per year of wastewater receiving only primary treatment will be discharged to Brush Creek.

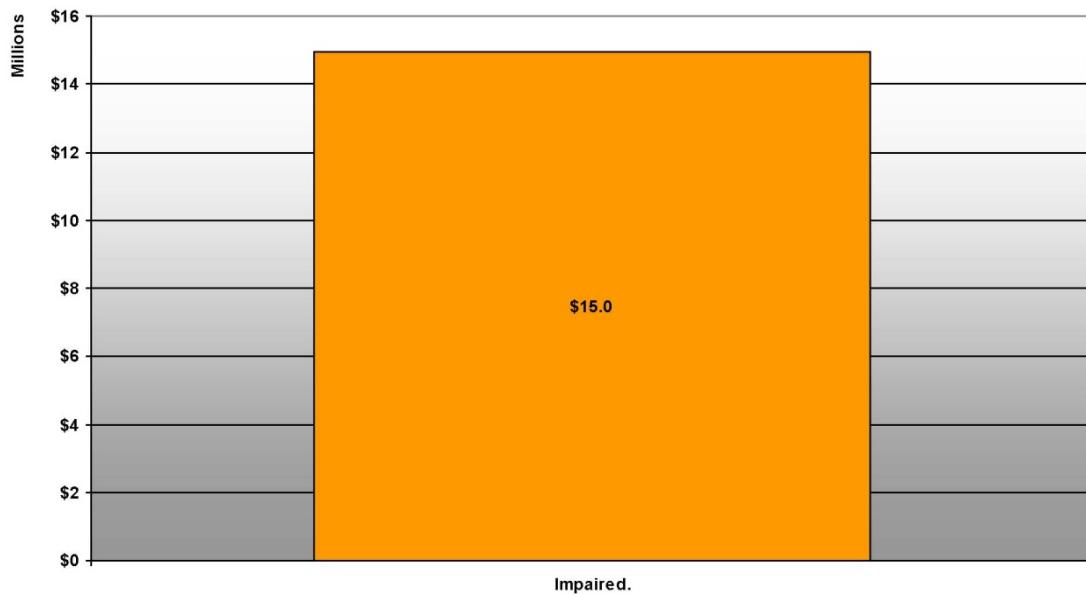
CW Benefits Reporting
CWSRF Reported Total Assistance:
Targeted to Achieve and then Maintain Compliance
All Projects
West Virginia



All Dates from 7/1/2010 thru 6/30/2011

8/23/2011

CW Benefits Reporting
CWSRF Reported Total Assistance:
Targeted to Impaired Waterbodies
All Projects
West Virginia



All Dates from 7/1/2010 thru 6/30/2011

8/24/2011

Town of Barrackville

The Town of Barrackville is located along Buffalo Creek in Marion County, West Virginia. The population of Barrackville is approximately 1,288. The Town has a combined sewer system in which the storm and sanitary wastes are transported in the same pipe. During dry weather the wastewater is transported to the City of Fairmont for treatment prior to discharge into the Monongahela River. During rain events a portion of the flow discharges through twelve (12) combined overflow points into Buffalo Creek. The CWSRF issued \$2.5 million to the Town to separate the sanitary and storm water systems in the Town of Barrackville.

The project is necessary for:

- Reduction of potential public health hazards
- Improve conditions for aquatic life
- Meet the presumptive approach of the Long Term Control Plan (LTCP)
- Discontinue sending inflow/infiltration (I/I) to the City of Fairmont for treatment

On May 4, 2006 the WVDEP issued a CSO Long Term Control Plan Implementation Policy. The policy requires the development and implementation of a LTCP that would provide a range of CSO control alternatives that would either eliminate the CSO discharges or attain West Virginia Water Quality Standards (WQS). The Town was already under a compliance order (No. 5486) issued by the West Virginia Department of Environmental Protection dated November 20, 2003 requiring the Town to complete a LTCP. The Town monitored their CSO events between January 4, 2005 and May 22, 2005 and there were 60 events during this period.

The Town completed a Water Quality Study of Buffalo Creek in 2005. It is clear from the study that the Town's CSO discharges have an impact on the WQS of Buffalo Creek even though the Town may not be the only contributor to the water quality problem. Water quality problems were noted upstream of the Town's CSO's.

Buffalo Creek is presently on the 2006 303 (d) list for impaired streams for biological impairment. Removal of the CSO's will have a positive impact but most likely not allow the stream to be removed from the 303 (d) list since all 30.8 stream miles are currently biologically impaired and the CSO's from Barrackville will only effect the lower 2.5 miles of Buffalo Creek. The Total Maximum Daily Load (TMDL) study is projected to be complete in 2017.

Improve and Protect Designated Uses of Water Bodies

Flatwoods Canoe Run PSD

Flatwoods - Canoe Run Public Service District owns and maintains a wastewater collection and treatment system in Braxton County, West Virginia. The existing system serves approximately 930 residential customers and approximately 242 nonresidential customers. The wastewater treatment plant has a current capacity of 512,000 gallons per day of average daily flow and discharges treated effluent to the Elk River.

The CWSRF issued \$8.03 million to the PSD to extend sewer service to 178 unserved customers, upgrade seven pump stations, one siphon station, as well as upgrade and expand the treatment plant capacity to 720,000 gallons per day.

This project is needed to provide necessary treatment of the wastewater that these customers produce and eliminate the straight pipes and failing septic systems they are currently using. The upgrades to the pump and siphon stations are necessary to convey the additional flow created by these new customers.

The majority of these unserved customers currently depend on on-site treatment systems or direct discharges to local streams. The soil conditions are not suited for on-site treatment systems such as functional septic tanks, which is a type of soil absorption system. Direct discharges provide no treatment and will continue to discharge harmful contaminants into local streams. Failure to construct the project would have allowed untreated or poorly treated wastewater to continue to enter into the environment, which could have had detrimental effects to the local residents and the surrounding habitat.

The project area is located in the Elk River watershed. The lower portion of the Elk River (from the mouth to RM 27.2) was considered to be impaired and has been placed on the 303d list for fecal coliform.

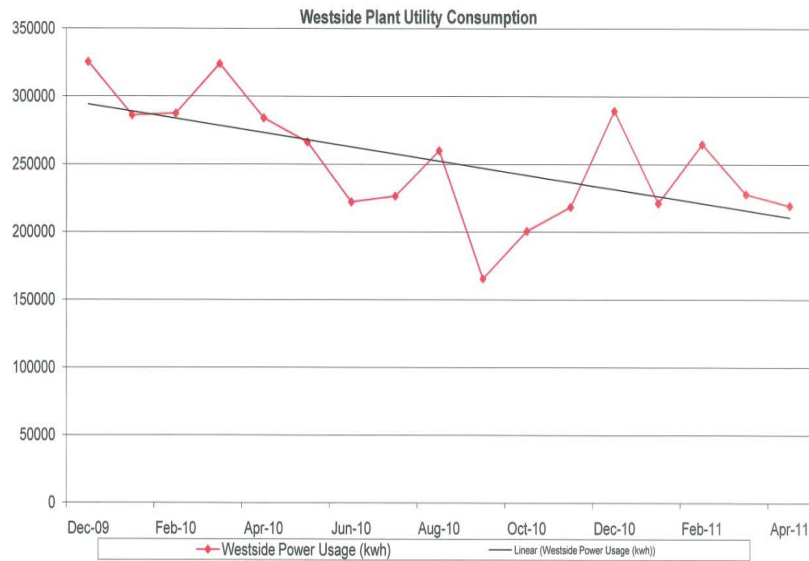
This project will expand the capacity of the treatment plant to treat average daily flow from 512,000 to 720,000 gallons per day and peak flows from 1,000,000 to 3,000,000 gallons per day during rain events. During heavy rain events, flow passes through the plant without all the necessary treatment processes being performed, releasing inadequately treated sewage into the Elk River. This project will decrease the number of occurrences of these flows being inadequately treated through the treatment plant. This project will also help reduce the number of combined sewer overflows.

Energy Efficiency

Sanitary Board of Bluefield – (results from the ARRA project)

In FY2010, with the passage of ARRA, the CWSRF was required to spend 20% of its allotment on green projects. The WV CWSRF chose to offer this money in the form of debt forgiveness. The Sanitary Board took advantage of this opportunity to improve the energy efficiency of their wastewater treatment facility.

The project consisted of replacing the Coilfilter rotary drum sludge press with a belt filter press and replacing the aeration system. As can be seen in the graph below the Sanitary Board power usage is trending downward. This is a positive result of their project and validation of the “green – energy efficiency” designation.



D. Engineering Summary

During FY2011, the CWSRF engineering staff performed the following activities in support of the program's goals and objectives.

<u>Activity</u>	<u>FY 2011</u>
FONSIs issued (1)	5
CEs issued (2)	4
Facilities plans approved	8
Plans/Specs approved	15
Addenda approved	21
Change Orders approved	140
O&M Manuals approved	3
Site Visits/Inspections	273
Plan reviews for BPH	98
WV IJDC application reviews	46

- (1) Finding of No Significant Impact (an environmental review)
- (2) Categorical Exclusion

E. Administrative Summary

Activities performed by the CWSRF management section included but were not limited to the following:

<u>Activity</u>	<u>FY2011</u>
Commitment Letters Issued	6
Authorization to Advertise	12
Loan/Bond Closings	13
Communities Receiving Payments	58
Onsite Systems Loans	24
Agriculture Loans	5
ARRA Site Administration Inspections	35

Section
IV

Expanded Uses (Nonpoint Sources)

A. Agriculture Water Quality Loan Program

FY2011 was the 14th fiscal year of operation for the Agriculture Water Quality Loan Program (AgWQLP). The purpose of this program is to provide a source of low interest loans for the financing of best management practices to reduce nonpoint source impacts on water quality in the agricultural community. The program is set up as a cooperative effort between the WVDEP, WV Soil Conservation Agency (SCA), USDA Natural Resources Conservation Service (NRCS), local Soil Conservation Districts (SCD) and local banking institutions.

Exhibits 2b and 2c contain a chart showing all loans made under the AgWQLP during FY2011 which totals \$261,033.

B. Onsite Systems Loan Program (OSLP)

The onsite systems loan program was revitalized starting in FY2007. The West Virginia Legislature amended the CWSRF statute to allow for an expanded definition of a local entity to which CWSRF money can be loaned. The West Virginia Housing Development Fund was then contacted to see if they were interested to act as an intermediary lender in this program. An agreement in principal was reached between DEP and HDF and an initiation of the OSLP occurred in FY2008. A second intermediary lender, Safe Housing and Economic Development, Inc., also signed an agreement with DEP to participate in the program. The DEP transferred \$300,000 to these two entities in FY2011 to be used for making loans to homeowners for the

repair or replacement of failing onsite sewage disposal systems. Twenty-five (25) actual loans were issued totaling \$151,738.

C. Summary

The cumulative binding commitments made to nonpoint source projects in West Virginia under the CWSRF program is now \$24,457,450 as of June 30, 2011.



Fund Financial Status

A. Binding Commitments

As shown in Exhibit 2a, the net binding commitments for FY2011 were \$52,973,410 and are being counted toward meeting the federal requirement that “all assistance provided must equal at least 120% of the cumulative amount of all federal grant payments within one year after receiving such payments”. Exhibit 5 shows the CWSRF compliance rate at 177% at the end of FY2011.

B. Loan and Bond Agreements

As shown in Exhibit 2c, there were 12 new loan/bond agreements issued in FY2011 for Section 212 projects worth \$51,755,240. There was one direct loan made to a Section 319 nonpoint source project worth \$726,160. The CWSRF base funds provided to these entities carried loan repayment terms between 20 and 38 years.

Also shown in Exhibit 2c, in the Section 319 nonpoint source (NPS) arena under the Agriculture Water Quality Loan Program, there were 5 loans issued worth \$261,033. In this nonpoint program loan agreements and binding commitments are the same.

Under the Onsite Systems Loan Program, there were 25 loans issued worth \$151,738. The DEP transferred \$300,000 to the intermediary lenders in this program during FY2011 which is being counted as binding commitments as shown in Exhibit 2b. Under this program, binding commitments and loan agreements are different.

C. Sources of Funds

Exhibit 3 shows all sources of funds that became available during FY2011. The sources came from federal capitalization grants, state matches, interest and investment earnings, and loan repayments. Also included in this exhibit is revenue from administrative fees charged on loans, although this money is held in a separate account, outside the SRF.

D. Disbursements and Cash Draws

Exhibit 6 shows all disbursements made from the CWSRF and administrative fee accounts during FY2011. The following table summarizes this information.

<u>Category</u>	<u>Disbursement</u>
Sec. 212 Projects	\$60,929,397 (inc. \$21,578,907 ARRA)
Sec. 319 Projects	\$ 3,759,417 (inc. \$2,948,123 ARRA)
SRF Administrative Account	\$ 0
Administrative Fee Account	\$ 1,794,695.75

The administrative expenditures supported activities associated with the CWSRF program, including DEP central office support and other EPA approved activities in the Division of Water and Waste Management.

E. Financial Statements/Audit

The auditing firm of Teed and Associates was chosen to perform the FY2011 audit. The final FY2011 audit report will be transmitted to EPA in the fall of 2011.

F. Monetary Defaults

As you can see from Exhibit 4, at the end of FY2011, there were no communities in monetary default with the CWSRF. There were no defaults reported at the end of FY2011. The communities that are listed had reserve and/or revenue account deficiencies. The West Virginia Water Development Authority actively monitors these communities on a monthly basis and when necessary, files a complaint with the Public Service Commission of West Virginia for resolution of the deficiencies.

In the Onsite Systems Loan Program, there are two loan recipients that have declared bankruptcy and are uncollectable. The uncollectable amount is \$14,222. There are also 22 loan recipients that are delinquent on their repayments. The amount remaining to be collected on these loans is \$125,847 and the CWSRF is continuing to work with those recipients through the intermediary lenders. These loans as well as others in the future may eventually be deemed to be “uncollectable” by DEP. An “allowance for doubtful accounts” account and a “bad debt expense” account have been established for these loans. The two bankruptcies will not appear in the FY2011 financials.



Program Changes

In FY2011, the CWSRF program reverted back to normal after the year of ARRA. ARRA and base program money continued to be disbursed to projects under construction. There were

no bid openings from January 2010 to June 2010 because ARRA essentially cleaned out the pipeline of projects ready to go to construction. Starting in July 2010 projects were once again advancing to the bidding/construction phase. Loan closings commenced in October 2010 and continued through the end of FY2011 at a satisfactory pace.

Section VII

Assurances

Consistent with 40 CFR35.3165, the State/EPA Operating Agreement and applicable grant conditions, the DEP assures that it is:

- a. Properly applying the EPA-approved State Environmental Review Process (SERP) to section 212 defined wastewater treatment projects receiving assistance from the Fund;
- b. Diligently working to expend all monies in its CWSRF in an expeditious and timely manner and/or making sure that a well thought out plan or strategy exists for the expenditure of all the funds in its CWSRF;
- c. Accepting federal grant payments in accordance with the payment schedule in the capitalization grant agreement and depositing its state match on or before the date on which quarterly grant payments are made;
- d. Pursuant to 40 CFR 35.3165, entering into binding commitments equal to 120% of federal grant payments within one year;
- e. Providing information for the National Information Management System (NIMS) in a timely manner;
- f. Submitting to EPA an annual Financial Status Report (FSR) SF-269. The annual FSR is due 90 days after the end of each state fiscal year. The final FSR on the grant is due 90 days after all funds are drawn from the capitalization grant;
- g. Submitting its semi-annual Federal Cash Transaction Report SF-272 (FCTRs);
- h. Preparing a program audit for the reporting period; and
- i. Submitting the quarterly reports on MBE/WBE utilization in a timely manner.

EXHIBIT 1

CWSRF CAPITALIZATION GRANTS

WEST VIRGINIA STATE REVOLVING FUND - CAPITALIZATION GRANT AWARDS -as of June 30, 2011

Exhibit 1

DATE	FED. AMOUNT	SOURCE FY FUNDS	FY SOURCE	STATE MATCH REQUIRED	STATE MATCH COMMITTED	DIFF.	CUM. DIFF.
FY90 GRANT 8/31/90	\$20,889,974	\$ 14,703,579 <u>\$ 6,186,395</u>	89 90	\$ 2,940,716 <u>\$ 1,237,279</u>			
	\$20,889,974			\$4,177,995	\$4,100,000 (91)	(\$77,995)	(\$77,995)
FY91 GRANT 9/30/91	\$31,353,287	\$ 9,022,678 <u>\$ 22,330,609</u>	90 91	\$ 1,804,535 <u>\$ 4,466,122</u>			
	\$31,353,287			\$6,270,657	\$5,450,000 (92)	(\$820,657)	(\$898,652)
FY92 GRANT 9/30/92	\$9,661,835	\$9,661,835	91	\$1,932,367	\$2,831,018 (93)	\$898,651	(\$1)
FY93 GRANT 9/29/93	\$30,288,852	\$30,288,852	92	\$6,057,770	\$6,057,770 (94)	(\$0)	(\$1)
FY94 GRANT 9/12/94	\$29,962,449	\$29,962,449	93	\$5,992,490	\$5,992,490 (95)	\$0	(\$1)
FY95 GRANT 1/27/95	\$37,792,161	\$ 18,591,309 <u>\$ 19,200,852</u> \$ 37,792,161	94 95	\$ 3,718,262 <u>\$ 3,840,170</u> \$ 7,558,432	\$ 3,718,262 <u>\$ 3,840,170</u> \$ 7,558,432 (96)	\$ 0 \$ (0)	\$ (1) \$ (1)
FY97 GRANT 9/30/97	\$ 31,451,607	\$ 3,498,858 <u>\$ 27,952,749</u> \$ 31,451,607 <u>\$ 9,713,600</u> \$ 41,165,207	95 96 97	\$ 699,771 <u>\$ 5,590,550</u> \$ 6,290,321 <u>\$ 1,942,720</u> \$ 8,233,041	\$ - \$ - \$ - \$ 8,233,041 (97)	\$ - \$ - \$ - \$ 0	\$ (1) \$ - \$ - \$ 0
FY98 GRANT 9/25/98	\$ 20,991,267	\$ 20,991,267	98	\$ 4,198,253	\$ 4,198,253 (98)	\$ -	\$ -
FY99 GRANT 9/21/1999	\$ 20,993,049	\$ 20,993,049	99	\$ 4,198,610	\$ 4,198,610 2000	\$ 0	
FY00 GRANT 9/21/00	\$ 20,921,868	\$ 20,921,868	2000	\$ 4,184,374	\$ 4,184,373 2000	\$ (1)	\$ -
FY01 GRANT 9/19/01	\$ 20,735,946	\$ 20,735,946	2001	\$ 4,147,189	\$ 4,147,189 2001	\$ -	\$ -
FY03 GRANT 1/2/2003	\$ 20,782,080	\$ 20,782,080	2002	\$ 4,156,416	\$ 4,171,893 2003	\$ -	\$ -
FY03 Grant Inc.	\$ 77,200	\$ 77,200		\$ 15,440	\$ -	\$ 37	\$ 36
FY04 GRANT 9/28/04	\$ 20,821,900	\$ 20,821,900	2003	\$ 4,164,380	\$ 4,174,379 2004	\$ 9,999	\$ 10,035
FY05 GRANT 3/16/05	\$ 20,637,300	\$ 20,637,300	2004	\$ 4,127,460	\$ 4,132,938 2005	\$ 5,478	\$ 15,513
FY05 AMEND. 9/28/05	\$ 16,798,100	\$ 16,798,100	2005	\$ 3,359,620	\$ 3,367,686 2006	\$ 8,066	\$ 23,579
FY06 GRANT 9/20/06	\$ 13,650,912	\$ 13,650,912	2006	\$ 2,730,182	\$ 2,730,182 2007	\$ 8,066	\$ 23,579
FY07 GRANT 9/26/07	\$ 16,684,470	\$ 16,684,470	2007	\$ 3,336,894	\$ 3,336,894 2007	\$ 8,066	\$ 23,579

FY08 GRANT																
9/24/08	\$	10,607,850	\$	10,607,850	2008	\$	2,121,570	\$	2,121,570	2008	\$	-	\$	23,579		
FY09 ARRA GRANTS																
3/27/09+8/27/09	\$	61,092,100	\$	61,092,100	2009 ARRA	n/a		n/a								
FY09 GRANT																
9/30/09	\$	10,607,850	\$	10,607,850	2009	\$	2,121,570	\$	2,121,570	2009	\$	-	\$	23,579		
FY2010 GRANT																
8/26/10	\$	31,762,000	\$	31,762,000	2010	\$	6,352,400	\$	6,352,400	2010	\$	-	\$	23,579		
FY2010 AMEND.																
11/30/10	\$	277,929	(trans SMAG \$)			\$	55,585	\$	55,585	(from admin fee acct.)						
21 grants+amenc						\$	508,555,586	FEDERAL		\$	89,492,695	STA	\$	89,516,273	\$	23,578
						\$	598,048,281	TOTAL								
FUTURE GRANTS																
FY2011 GRANT																
	\$	23,019,000	\$	23,019,000	2011	\$	4,603,800	\$	4,603,800	2011	\$	-				

EXHIBITS 2a, 2b, 2c

2a - FY2011 BINDING COMMITMENTS BY QUARTER

2b - FY2011 NONPOINT SOURCE COMMITMENTS

2c - FY2011 FINANCIAL ASSISTANCE PROVIDED

NEW BINDING COMMITMENT TRANSACTIONS BY QUARTER FY2011						
PROJECT	NUMBER C - 544	BINDING /SIGNATURE		CUMULATIVE AMOUNT	TOTAL CUMULATIVE	TYPE
		DATE	AMOUNT			
1st Quarter						
Elkins	380-02	7/1/2010	\$ (510)			BPA dec.
OSLP Nonpoint Source	n/a	8/14/2010	\$ 300,000			onsite
Ag Nonpoint Source (2 loans)	n/a	n/a	\$ 201,369	\$ 500,859	\$ 500,859	BMPs
2nd Quarter						
Shady Spring PSD	424	10/12/2010	\$ 2,422,826			BPA
Charles Town (design)	392	11/11/2010	\$ 1,750,000			BPA
Shepherdstown	159	11/22/2010	\$ 9,172,697			BPA
Huntington	461	11/10/2010	\$ 2,622,055			LA
Wheeling	351-02	12/14/2010	\$ 8,356,000			LA
New Haven	430	12/16/2010	\$ 3,277,500			BPA
Crab Orchard	437	11/30/2010	\$ (68,513)			
Barrackville	438	12/22/2010	\$ 2,500,000			BPA
OSLP Nonpoint Source	n/a	n/a	\$ -			onsite
Ag Nonpoint Source (1 loan)	n/a	n/a	\$ 11,450	\$ 30,044,015	\$ 30,544,874	BMPs
3rd Quarter						
Wellsburg	362	2/24/2011	\$ 307,661			BPA
Ceredo	179	3/2/2011	\$ 534,900			BPA
Princeton	281	3/3/2011	\$ 9,100,000			BPA
OSLP Nonpoint Source	n/a	n/a	\$ -			onsite
Ag Nonpoint Source (2 loans)	n/a	n/a	\$ 48,214	\$ 9,990,775	\$ 40,535,649	BMPs
4th Quarter						
Lincoln County Commission		4/19/2011	\$ 726,160			BPA
Moundsville		6/9/2011	\$ 3,678,601			LA
Flatwoods-Canoe Run PSD		6/24/2011	\$ 8,033,000			BPA
OSLP Nonpoint Source	n/a	n/a	\$ -			onsite
Ag Nonpoint Source (0 loans)	n/a	n/a	\$ -	1243776100%	5297341000%	BMPs
TOTAL FY2011 BINDING COMMITMENTS						
Section 212 WWT Projects			BAN LEV - Deferred commitment			
New Commitments			BCL - Binding Commitment Letter			
Closings Adjustments			BCL inc - increase to BCL			
Section 319 Nonpoint Source-Ag			BCL dec -decrease to BCL			
Section 319 Nonpoint Source - Direct Loans			BPA - Bond Purchase Agreement			
Section 319 Nonpoint Source-OSLP			BMP - Best Management Practice			
TOTAL TRANSACTIONS			LA - Loan Agreement			
			Term - Termination			
COMMITMENT TOTALS BY QUARTER:						
1st Quarter		\$ 500,859				
2nd Quarter		\$30,044,015				
3rd Quarter		\$ 9,990,775				
4th Quarter		\$12,437,761				
TOTAL TRANSACTIONS		\$52,973,410				

Nonpoint Source Binding Commitments in FY2011

A. WV Agriculture Water Quality Loan Program

PL-534 Program

	Binding Commitments	Total Amt Loaned
Balance Forward - end of FY2010		\$4,715,545
First Quarter	\$0	
Second Quarter	\$0	
Third Quarter	\$0	
Fourth Quarter	<u>\$0</u>	
subtotal	\$0	
Cumulative Amount		\$4,715,545

EQIP / 319 Program

	Binding Commitments	Total Amt Loaned
Balance Forward - end of FY2010		\$1,403,247
First Quarter	\$201,369	
Second Quarter	\$11,450	
Third Quarter	\$23,214	
Fourth Quarter	<u>\$0</u>	
subtotal	\$236,033	
Cumulative Amount		\$1,639,280

Bay Program

	Binding Commitments	Total Amt Loaned
Balance Forward - end of FY2010		\$0
First Quarter	\$0	
Second Quarter	\$0	
Third Quarter	\$25,000	
Fourth Quarter	<u>\$0</u>	
subtotal	\$25,000	
Cumulative Amount		\$25,000
Cumulative Agriculture projects		\$6,379,825

B. Onsite Systems Loan Program

	Binding Commitments	Total Amt Loaned
Balance Forward - end of FY2010		\$711,548
First Quarter	\$300,000	
Second Quarter	\$0	
Third Quarter	\$0	
Fourth Quarter	<u>\$0</u>	
subtotal	\$300,000	
Cumulative Amount		\$1,011,548

C. Direct Loans to NPS Projects

	Binding Commitments	Total Amt Loaned
Balance Forward - end of FY2010		\$16,339,917
	<u>\$726,160</u>	
Cumulative Amount	\$726,160	\$17,066,077

Total FY2011 NPS Binding Commitments

PL 534 Ag Program	\$0
EQIP/319 Ag Program	\$236,033
Bay Ag Program	\$25,000
Onsite Systems Loan Program	\$300,000
Direct Loans	<u>\$726,160</u>
	\$1,287,193

Grand Total NPS Commitments (FY98-FY2011)

PL 534 Ag Program	\$4,715,545
EQIP / 319 Ag Program	\$1,639,280
Bay Ag Program	\$25,000
Onsite Systems Loan Program	\$1,011,548
Direct NPS Loans (2)	<u>\$17,066,077</u>
	\$24,457,450

WEST VIRGINIA CLEAN WATER STATE REVOLVING FUND

Financial Assistance Provided in FY2011

<u>Section 212 Projects</u>	<u>County</u>	<u>Debt Forgiveness</u>	<u>Base Program</u>	<u>Int. Rate</u>	<u>Admin. Fee</u>	<u>Term</u>	<u>Issue Date</u>
Shady Spring PSD	Raleigh	\$0	\$2,422,826	0.0%	0.5%	30	10/12/2010
Huntington	Cabell	\$0	\$2,622,055	2.0%	1.0%	20	11/10/2010
Charles Town (design)	Jefferson	\$0	\$1,750,000	0.0%	0.5%	30	11/11/2010
Shepherdstown	Jefferson	\$0	\$9,172,697	0.0%	0.5%	30	11/22/2010
Wheeling	Ohio	\$0	\$8,356,000	2.0%	1.0%	20	12/14/2010
New Haven	Mason	\$0	\$3,277,500	0.0%	0.5%	30	12/16/2010
Barrackville	Marion	\$0	\$2,500,000	0.0%	0.5%	30	12/22/2010
Wellsburg	Brooke	\$0	\$307,661	0.0%	0.5%	40	2/24/2011
Ceredo	Wayne	\$0	\$534,900	0.0%	0.5%	40	3/2/2011
Princeton	Mercer	\$0	\$9,100,000	0.0%	0.5%	30	3/3/2011
Moundsville	Marshall	\$0	\$3,678,601	2.0%	1.0%	20	6/9/2011
Flatwoods - Canoe Run PSD	Braxton	\$0	\$8,033,000	0.0%	0.5%	40	6/24/2011

0 51,755,240

Section 319 Projects

Direct Loans (1)

Lincoln County (green)	\$726,160	0	0.0%	n/a	10	4/19/2011
Agriculture WQLP (5)	\$261,033	n/a		n/a	n/a	n/a
Onsite Loan Program (25)	<u>\$151,738</u>	n/a		n/a	n/a	n/a
	\$1,138,931					

Grand Total

\$52,894,171

EXHIBIT 3

FY2011 SOURCES OF SRF FUNDS BY QUARTER

SOURCE OF SRF FUNDS BY QUARTER

SOURCES OF FUNDS	PREVIOUS FY	STATE FISCAL YEAR 2011				FY2011	GRAND
	TOTALS	QTR 1	QTR 2	QTR 3	QTR 4	TOTALS	TOTALS
LOC PAYMENTS							
FY90 - 2009 GRANTS	\$415,423,557.00						
ARRA GRANT	\$61,092,100.00					\$0.00	
FY10 GRANT (9/30/2009)		\$31,762,000.00				\$31,762,000.00	
FY10 GRANT (amend) (11/30/10)			\$277,929.00				\$508,555,586.00
CASH DEPOSITS							
FY90 - 2009 STATE MATCHES	\$83,084,708.00						
FY10 MATCH		\$6,352,400.00		\$55,585.00		\$6,407,985.00	
							\$89,492,693.00
INVESTMENT EARNINGS							
SRF account	\$26,176,046.91	\$46,103.76	\$44,901.66	\$40,747.43	\$35,106.91	\$166,859.76	\$26,342,906.67
Outside the SRF account	\$685,985.86	\$2,377.78	\$2,249.15	\$1,982.54	\$1,513.30	\$8,122.77	\$694,108.63
LOAN REPAYMENTS							
Principal (212)	180,279,454**	\$5,703,477.92	\$5,765,030.15	\$5,861,538.33	\$5,926,199.73	\$23,256,246.13	\$23,256,246.13
Interest (212)	24,236,729**	\$613,262.16	\$602,729.51	\$594,732.89	\$583,446.89	\$2,394,171.45	\$2,394,171.45
Principal (NPS & DNPS & OSLP)	5,609,074**	\$161,395.51	\$188,699.20	\$170,414.73	\$159,597.98	\$680,107.42	\$680,107.42
Interest (NPS & DNPS & OSLP)	270,116**	\$32,561.34	\$32,930.18	\$33,297.18	\$33,662.36	\$132,451.06	\$132,451.06
ADMINISTRATIVE FEES							
SRF Projects *	\$12,774,158.34	\$504,684.62	\$516,848.29	\$517,311.40	\$524,593.21	\$2,063,437.52	\$14,837,595.86
NPS Projects	\$135,090.80	\$11,948.60	\$11,468.60	\$11,908.60	\$11,048.60	\$46,374.40	\$181,465.20
TOTALS	\$599,371,646.91	\$45,190,211.69	\$7,442,785.74	\$7,287,518.10	\$7,275,168.98	\$67,195,684.51	\$666,567,331.42
CUMULATIVE TOTALS							
FOR FY2011		\$45,190,211.69	\$52,632,997.43	\$59,920,515.53	\$67,195,684.51		

* includes airport adm fees

** Adjustments made in response to EPA's comments on the 2010 annual report. A review of all previous annual reports compared to NIMS resulted in an agreement with NIMS and the necessary adjustments have been made.

EXHIBIT 4

MUNICIPAL BOND COMMISSION'S REPORT ON MONETARY DEFAULTS AND RESERVE DEFICIENCIES

CWSRF Defaults/Deficiencies Report as of June 30, 2011

Enlarged Hepzibah PSD – In February, 2009, the WDA filed a Complaint with the Public Service Commission requesting a surcharge to eliminate the sewer bond deficiencies. The District made several significant payments and the WDA agreed to dismiss the Complaint in light of those and a \$1.00 per thousand gallon surcharge already in place. The current deficiency for the 03 bonds is \$5,827.00. On May 11, 2012, the WDA set the District a letter stating it may file a new PSC complaint. The District responded that it would make its regular monthly payment plus \$800 until the deficiency is eliminated.

Follansbee – On March 25, 2010, the WDA filed a Complaint against Follansbee with the PSC, over sewer and water bond account deficiencies, requesting surcharges to cure existing deficiencies. Case No. 10-415-WS-C. This was the latest of a long list of complaints filed by the WDA against Follansbee, whose practice has been to eliminate bond deficiencies only on the eve of PSC Complaint hearings. On January 25, 2011, the PSC approved a plan that was to eliminate the deficiencies. Follansbee has virtually eliminated the water bond deficiencies but has let the sewer deficiency rise again. The WDA filed a Motion on May 19, 2011, asking the PSC to reopen the case, order its Staff to audit the City's water and sewer operations, and establish a sewer bond surcharge.

Junior – On October 14, 2010, the WDA filed a Complaint with the PSC against Junior, requesting a surcharge to eliminate sewer bond deficiencies. Case No. 10-1614-S-C. Junior cured its deficiencies by payment to the Municipal Bond Commission and the case was dismissed on November 30, 2010.

Kanawha Falls PSD – On January 28, 2008, the WDA filed a Complaint with the PSC, requesting a surcharge to eliminate significant sewer bond account deficiencies in one year. Case No. 08-0099-PSD-C. The Commission on June 26, 2008 restyled the case and converted it to a tariff case. Case No. 08-0099-PSD-T. On January 27, 2010, the PSC established a 7% rate increase to eliminate the bond deficiencies. Since then, the District has been reducing its deficiencies at a consistent rate.

Kenova – On September 21, 2010, the WDA filed a Complaint with the PSC requesting a surcharge to eliminate the sewer bond deficiency. Case No. 10-1484-S-C. The City Council enacted an ordinance establishing a surcharge of \$1.18 per thousand gallons to eliminate the deficiency. PSC Staff has recommended the case be dismissed.

Mullens – On February 23, 2011, the WDA filed a Complaint against Mullens for sewer bond deficiencies. The Complaint caused Mullens to make sufficient payment to reduce the deficiency to a nominal amount. The case will be dismissed shortly.

Northern Wayne County PSD – The District filed for a rate increase with the Public Service Commission ("PSC") on May 3, 2010. Case No. 10-0634-42A. The WDA intervened and requested an increased surcharge to pay the bond deficiencies. On October 18, 2010, the PSC approved interim rates, removed the existing surcharge, but placed \$133,000 in the rates to pay bond deficiencies and ordered the District to send 31% of its revenue to the Municipal Bond Commission. Permanent rates at the same level and under the same payment condition were approved May 6, 2011.

Petersburg – On May 12, 2010, the WDA wrote to Petersburg expressing its concern about its bond deficiencies in both its sewer and water bond accounts. By May 31, 2011, the deficiencies in the sewer accounts had been reduced to a nominal level.

Salem – On February 17, 2010, the WDA filed a Complaint with the PSC against Salem, requesting a surcharge to cure bond account deficiencies. On January, 2011, a status conference was held before the full Commission. Evidence at the hearing detailed fraud activity under investigation by the State Police that caused the City not to make payments, including bond payments. Salem was conducting a rate study and considering other steps. On April 1, 2011, the PSC ordered Salem to file a status report within twenty (20) days. It did not. On May 11, 2011, the WDA filed a letter with the PSC, urging the Commission to require Salem to comply with its Order.

Salt Rock PSD – On March 6, 2010, Salt Rock Public Service District filed a request for increased rates with the Public Service Commission. The WDA intervened in the case. The Administrative Law Judge issued his Recommended Decision on December 1, 2010, granting a \$1.00 per thousand gallon surcharge to eliminate the sewer bond deficiencies. On December 15, 2010, the District filed Exceptions to the Recommended Decision. The issues it raised did not include an objection to the surcharge. It is pending before the full Commission.

Thomas – On April 14, 2011, the WDA wrote to Thomas, expressing concern about its sewer bond deficiencies, which had grown to \$8,727. Thomas made sufficient payments to the Municipal Bond Commission to eliminate the deficiencies by May 31, 2011.

Warm Springs PSD – On November 19, 2010, the District filed for a rate increase that included a request for a bond deficiency surcharge of \$0.43 per thousand gallons. Case No. 10-1805-PSD-19A. Staff of the PSC opposes any increase and the surcharge. Hearing is set for June 15, 2011. The WDA is monitoring the case.

Weston – In April, 2010, the WDA filed a Complaint against Weston, requesting a surcharge to cure its sewer bond deficiencies. Weston made payments to eliminate its deficiencies in November, 2010. The case was dismissed. On January 10, 2011, the WDA wrote to Weston expressing its concern over new deficiencies. Those deficiencies had been cured by checks dated December 31, 2010.

MUNICIPAL BOND COMMISSION
DEP ACCOUNTS STATUS REPORT-COMPARISON
AS OF JUNE 30, 2011

		FY2011				
NAME	PA	7/30/2010	10/31/2010	12/31/2010	3/31/2011	6/30/2011
		SURPLUS (DEFICIENCY)	SURPLUS (DEFICIENCY)	SURPLUS (DEFICIENCY)	SURPLUS (DEFICIENCY)	SURPLUS (DEFICIENCY)
ENLARGED HEPZIBAH W PSD 03-A SEWER REV	DEP	0	0	(5,677)	0	(5,677)
ENLARGED HEPZIBAH W PSD 03-A SEWER RES	DEP	(12,464)	(10,665)	(10,685)	(7,125)	(6,733)
FOLLANSBEE 98-A SEWER REVENUE	DEP	(39,473)	(39,473)	(39,536)	(237)	(39,536)
FOLLANSBEE 98-A SEWER RESERVE	DEP	(29,553)	(69,071)	(69,069)	(108,339)	(108,656)
JUNIOR 04-A SEWER REVENUE	DEP	(2,713)	1	0	(19)	(19)
JUNIOR 04-A SEWER RESERVE	DEP	(249)	2	6	12	(4)
KANAWHA FALLS PSD 03-A SEWER REVENUE	DEP	1	0	(3,262)	0	(4,030)
KANAWHA FALLS PSD 03-A SEWER RESERVE	DEP	(57,980)	(47,358)	(45,568)	(33,315)	(28,901)
KANAWHA FALLS PSD 93-A SEWER REVENUE	DEP	1	0	(7,254)	0	(8,961)
KANAWHA FALLS PSD 93-A SEWER RESERVE	DEP	(139,608)	(122,557)	(117,878)	(93,026)	(80,323)
KENOVA 07-B SEWER REVENUE	DEP	(22,290)	(55,603)	(49,370)	(41,141)	(30,813)
KENOVA 07-B SEWER RESERVE	DEP	(17,219)	(20,258)	(22,284)	(25,323)	(28,361)
MULLENS 98-A SEWER REVENUE	DEP	(12,257)	(6,059)	(8,314)	(207)	(2,255)
MULLENS 98-A SEWER RESERVE	DEP	(4)	(141)	(101)	(49)	(10)
NORTHERN WAYNE CTY PSD 01-A S DESIGN REV	DEP	(1,819)	(2,986)	(1,309)	(283)	(296)
NORTHERN WAYNE CTY PSD 01-A S DESIGN RES	DEP	(14,693)	(16,596)	(16,149)	(16,088)	(16,088)
NORTHERN WAYNE CTY PSD 05-A SEWER REV	DEP	(16,564)	(27,205)	(11,926)	(2,574)	(2,700)
NORTHERN WAYNE CTY PSD 05-A SEWER RES	DEP	(49,184)	(59,220)	(61,740)	(64,969)	(68,749)
PETERSBURG 03-A SEWER REVENUE	DEP	23	(54)	(57)	(10,870)	(71)
PETERSBURG 03-A SEWER RESERVE	DEP	10	44	11	(1,075)	(73)
PETERSBURG 95 SEWER REVENUE	DEP	(7)	(9)	(14)	(4,103)	(29)
PETERSBURG 95 SEWER RESERVE	DEP	0	0	0	(31)	(17)
SALEM 95-A SEWER REVENUE	DEP	(21,257)	(794)	(13,613)	(13,846)	(13,814)
SALEM 95-A SEWER RESERVE	DEP	(79,584)	(76,736)	(76,713)	(94,042)	(96,475)

SALT ROCK SEWER PSD 03-A SEWER REVENUE	DEP	(7,251)	(2,451)	(4,718)	(4,098)	(2,713)
SALT ROCK SEWER PSD 03-A SEWER RESERVE	DEP	(6,271)	(11,782)	(12,657)	(13,972)	(15,289)
SALT ROCK SEWER PSD 04-A SEWER REVENUE	DEP	(39,615)	(13,387)	(25,773)	(22,393)	(14,822)
SALT ROCK SEWER PSD 04-A SEWER RESERVE	DEP	(37,954)	(68,097)	(72,904)	(80,118)	(86,679)
THOMAS 97-A SEWER REVENUE	DEP	13	0	(27)	(3,912)	(3,912)
THOMAS 97-A SEWER RESERVE	DEP	(78)	(80)	(73)	(510)	(412)
WARM SPRINGS PSD 02-A SEWER REVENUE	DEP	243	0	(23)	0	(3,088)
WARM SPRINGS PSD 02-A SEWER RESERVE	DEP	6	(22)	0	0	0
WARM SPRINGS PSD 07-C SEWER REVENUE	DEP	244	0	(3,955)	0	(3,114)
WARM SPRINGS PSD 07-C SEWER RESERVE	DEP	(6,014)	(3,470)	(3,633)	(7,480)	(12,036)
WARM SPRINGS PSD 94 SEWER REVENUE	DEP	367	1	(31)	0	(4,654)
WARM SPRINGS PSD 94 SEWER RESERVE	DEP	0	0	0	0	0
WESTON 00-A SEWER REVENUE	DEP	0	(1)	(9,533)	(58)	(68)
WESTON 00-A SEWER RESERVE	DEP	12	(17)	(873)	23	(10)
WESTON 96 SEWER REVENUE	DEP	1	0	(5,847)	(21)	(31)
WESTON 96 SEWER RESERVE	DEP	9	9	(33)	0	0

EXHIBIT 5

FEDERAL PAYMENTS AND BINDING COMMITMENTS

FEDERAL PAYMENTS AND BINDING COMMITMENTS

EXHIBIT 5

PAYMENT SCHEDULE	FED. GRANTS	FED. FY2010	FEDERAL FY 2011				FEDERAL FY 2012			
	Received	4th Quarter	Qtr 1	Qtr 2	Qtr 3	Qtr 4	Qtr 1	Qtr 2	Qtr 3	Qtr 4
1990 - 2009 Grants	\$415,423,557									
2010 Grant			\$31,762,000	\$277,929						
Future 2011 Grant							\$23,019,000			
Cumulative	\$415,423,557	\$415,423,557	\$447,185,557	\$447,463,486	\$447,463,486	\$447,463,486	\$470,482,486	\$470,482,486	\$470,482,486	\$470,482,486
Cumulative REQ	\$485,778,848	\$485,778,848	\$498,508,268	\$498,508,268	\$498,508,268	\$498,508,268	\$536,622,668	\$536,956,183	\$536,956,183	\$536,956,183
Binding Commitments (120%)										
Actual Binding		\$500,859	\$30,044,015	\$9,990,775	\$12,437,761					
Commitments Cumulative	\$741,233,043	\$741,733,902	\$771,777,917	\$781,768,692	\$794,206,453	\$794,206,453	\$794,206,453	\$794,206,453	\$794,206,453	\$794,206,453
Binding Commitments as as a % of payments	167%	179%	173%	175%	177%	177%	169%	169%	169%	169%
ARRA Grant (no state match req)	\$61,092,108									
Actual Loan Assistance (binding commitment portion included above)	\$61,092,108									
		Start	End			Start	End			
		State FY2011	State FY2011			State FY2012		State FY2012		
		FY 2011 ANNUAL REPORT PERIOD				FY 2012 ANNUAL REPORT PERIOD				

EXHIBIT 6

FY2011 DISBURSEMENTS

FY2011 DISBURSEMENTS

Exhibit 6

A). SECTION 212 PROJECTS

		STATE FISCAL YEAR 2011								
		1st Half				2nd Half				
PROJECT	NUMBER C-	Cap. Grant	Federal ARRA	Debt Forgiveness	STATE	FEDERAL	Federal ARRA	Debt Forgiveness	STATE	TOTAL
Barrackville	544438	\$ 104,125			\$ 20,875	\$ 70,788			\$ 14,191	\$ 209,979
Beckley	544439-02		\$ 196,655		\$ 104,942		\$ 74,597		\$ 267,435	\$ 643,629
Belle	544177-03		\$ 120		\$ 36,845				\$ 3,600	\$ 40,565
Bluefield	547300		\$ 2,220,198				\$ 129,839			\$ 2,350,037
Canaan Valley	544446		\$ 601,731		\$ 1,064,575		\$ 185,028		\$ 1,022,972	\$ 2,874,306
Central Hampshire	544140-02		\$ 320,281				\$ 138,610			\$ 458,891
Ceredo	544179					\$ 32,570			\$ 6,530	\$ 39,100
Charleston	544189-02				\$ 519,864					\$ 519,864
Charles Town	544392	\$ 508,800			\$ 102,004	\$ 921,980			\$ 184,838	\$ 1,717,622
Chelyan	544222-02		\$ 1,779,601		\$ 626,780		\$ 10,303		\$ 918,226	\$ 3,334,910
Clarksburg	544319		\$ 46,376		\$ 33,132		\$ 60,460		\$ 41,957	\$ 181,925
Claywood Park	544063				\$ 169,924					\$ 169,924
Claywood Park	544063-02		\$ 233,062		\$ 112,761				\$ 74,482	\$ 420,305
Crab Orchard - MacArthur	544437	\$ 126,605			\$ 25,382					\$ 151,987
Elk Valley	544400				\$ 106,327				\$ 467,641	\$ 573,968
Fairmont	544393-01								\$ 30,350	\$ 30,350
Flatwoods Canoe Run	544316								\$ 729,008	\$ 729,008
Grantsville	544454		\$ 884,006		\$ 13,936		\$ 149,322		\$ 40,134	\$ 1,087,398
Hamrick	544069-01		\$ 252,758		\$ 113,141				\$ 72,462	\$ 438,361
Hancock	544020				\$ 59,915				\$ 24,578	\$ 84,493
Harpers Ferry	544429		\$ 7,154		\$ 14,097		\$ 1		\$ 3,812	\$ 25,064
Hinton	544325-02		\$ 1,157,691				\$ 2,406			\$ 1,160,097
Huntington	544341	\$ 640,641			\$ 128,435	\$ 650,158			\$ 130,344	\$ 1,549,578
Huntington	544461	\$ 109,209			\$ 21,894	\$ 665,456			\$ 133,411	\$ 929,970
Kenova	544041				\$ 26,500					\$ 26,500
Kermit	544220		\$ 232,815							\$ 232,815
Leon	544133		\$ 1,043,021							\$ 1,043,021
Logan	544364-01				\$ 41,911					\$ 41,911
Malden	544073		\$ 902,124		\$ 344,381		\$ 567,133		\$ 307,974	\$ 2,121,612
Marlinton	544434		\$ 125,562				\$ 7,843			\$ 133,405
Marmet	544074		\$ 837,270		\$ 147,071		\$ 2,548		\$ 87,060	\$ 1,073,949
Mason	544452		\$ 105,479				\$ 9,594		\$ 15,400	\$ 130,473
Morgantown	544205	\$ 370,164			\$ 3,099,940	\$ 450,331			\$ 1,765,639	\$ 5,686,074
Moundsville	544025-02								\$ 1,072,550	\$ 1,072,550
Mt. Hope	544390				\$ 39,546					\$ 39,546
New Haven	544430	\$ 291,977			\$ 58,535	\$ 572,733			\$ 114,822	\$ 1,038,067
Nitro	544423		\$ 778,645		\$ 455,017				\$ 470,036	\$ 1,703,698
North Beckley	544157-03				\$ 2,454,459				\$ 651,587	\$ 3,106,046
Oceana	544383		\$ 10,000				\$ 50,000			\$ 60,000
Pennsboro	544409		\$ 1,263,399				\$ 204,007			\$ 1,467,406
Princeton	544281-02								\$ 1,131,475	\$ 1,131,475
Putnam	544304								\$ 99,813	\$ 99,813

Putnam	544456				\$ 466,297				\$ 11,934	\$ 478,231
St. Albans	544406-02		\$ 1,296,163		\$ 402,265		\$ 234,653		\$ 143,352	\$ 2,076,433
St. Marys	544032-03		\$ 1,373,651		\$ 937,350				\$ 936,427	\$ 3,247,428
Salt Rock	544185-03				\$ 30,499					\$ 30,499
Scotts Run	544108		\$ 2,114,782		\$ 505,994		\$ 482,802		\$ 78,511	\$ 3,182,089
Shady Spring	544424	\$ 700,718			\$ 140,480	\$ 1,196,346			\$ 239,844	\$ 2,277,388
Shepherdstown	544159	\$ 554,106			\$ 111,088	\$ 2,129,830			\$ 434,988	\$ 3,230,012
Shepherdstown	547450		\$ 190,649							\$ 190,649
Union	544417		\$ 511,704		\$ 1,342,010				\$ 689,466	\$ 2,543,180
Wardensville	544325				\$ 5,650		\$ 153,782		\$ 74,863	\$ 234,295
Welch	544426								\$ 282,047	\$ 282,047
Wellsburg	544362								\$ 61,231	\$ 61,231
Wheeling	544351-02	\$ 348,027			\$ 69,773	\$ 530,549			\$ 322,551	\$ 1,270,900
White Sulphur Springs	544035				\$ 337,820					\$ 337,820
Williamstown	544335-02		\$ 395,356		\$ 35,394		\$ 228,019		\$ 515,283	\$ 1,174,052
Winfield	544440		\$ 4,434		\$ 302,800		\$ 3,273		\$ 72,944	\$ 383,451
PROJECTS TOTAL	N/A	\$ 3,754,372	\$ 18,884,687	\$	\$ 14,629,609	\$ 7,220,741	\$ 2,694,220	\$ -	\$ 13,745,768	\$ 60,929,397
TOTAL (State and Federal)		\$			37,268,668	\$			23,660,729	\$ 60,929,397
FEDERAL SHARE OF TOTAL		\$ 22,639,059				\$ 9,914,961				\$ 32,554,020
STATE SHARE OF TOTAL					\$ 14,629,609				\$ 13,745,768	\$ 28,375,377
% OF TOTAL FEDERAL		0.607				0.419				0.534
% OF TOTAL STATE					0.393				0.581	0.466

The only ARRA funds expended in FY2009 was \$138,606 in the 4th quarter, as shown above.

FY2011 DISBURSEMENTS

Exhibit 6a

B). SECTION 319 PROJECTS		1Q	2Q	3Q	4Q	TOTAL
1). Agriculture WQLP		\$201,369	\$11,450	\$48,214	\$0	\$261,033
2). Direct NPS - Federal - 7 Projects Below						\$0
State Match						\$0
3). Onsite Systems		\$300,000	\$0	\$0	\$0	\$300,000
SECTION 319 TOTAL		\$501,369	\$11,450	\$48,214	\$0	\$561,033
FEDERAL SHARE OF TOTAL				\$0	\$0	\$0
STATE SHARE OF TOTAL		\$501,369	\$11,450	\$48,214	\$0	\$561,033
% OF TOTAL FEDERAL		0%	0%	0%	0%	0%
% OF TOTAL STATE		100%	100%	100%	0%	100%

		STATE FISCAL YEAR 2011								TOTAL
		1st Half				2nd Half				
		Federal		Debt Forgiveness	STATE	Federal		Debt Forgiveness	STATE	
PROJECT	NUMBER C-	Cap. Grant	ARRA			FEDERAL	ARRA		Debt Forgiveness	Debt Forgiveness
B2). Section 319 Projects										
Beckley	547050					\$ 69,436			\$ 69,436	
Bridgeport	547150		\$ 1,281,822			\$ 203,283			\$ 1,485,105	
Davis	547350		\$ 193,387			\$ 6,430			\$ 199,817	
Lincoln	547200		\$ 253,598			\$ 21,854			\$ 275,452	
Lincoln	547650						\$ 208,468	\$ 41,793	\$ 250,261	
Morgantown	544205		\$ 74,600						\$ 74,600	
WV Reg. Airport Authority	547250		\$ 791,313			\$ 52,400			\$ 843,713	
Projects Total		\$ -	\$ 2,594,720	\$ -	\$ -	\$ -	\$ 353,403	\$ 208,468	\$ 41,793	\$ 3,198,384

C). ADMINISTRATION		1Q	2Q	3Q	4Q	TOTAL
1. ADMINISTRATION - #3329	603(D)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
2. ADMINISTRATION - #3342		\$453,022.04	\$422,399.21	\$421,001.04	\$498,273.46	\$1,794,695.75
ADM TOTAL		\$453,022.04	\$422,399.21	\$421,001.04	\$498,273.46	\$1,794,695.75
FEDERAL SHARE OF TOTAL		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
STATE SHARE OF TOTAL		\$453,022.04	\$422,399.21	\$421,001.04	\$498,273.46	\$1,794,695.75
% OF TOTAL FEDERAL		0%	0%	0%	0%	0%
% OF TOTAL STATE		100%	100%	100%	100%	100%

EXHIBIT 7

FY2011 Loan Assistance by Needs Categories

WEST VIRGINIA CLEAN WATER STATE REVOLVING FUND
Loan Agreements by Needs Cost Categories

	SECTION 212 PROJECTS	I	II	IIIA	IIIB	IVA	IVB	V	Other	Total SRF Loan
1	Elkins (decrease)							(\$510)		\$ (510)
2	Shady Spring PSD			\$400,000	\$2,022,826					\$ 2,422,826
3	Huntington				\$2,622,055					\$ 2,622,055
4	Charles Town (design)		\$1,750,000							\$ 1,750,000
5	Shepherdstown		\$9,172,697							\$ 9,172,697
6	Wheeling	\$3,330,000					\$180,000	\$4,846,000		\$ 8,356,000
7	New Haven	\$1,498,135			\$1,708,615	\$42,450	\$28,300			\$ 3,277,500
8	Crab Orchard (decrease)		(\$15,758)			(\$31,516)	(\$21,239)			\$ 2,500,000
9	Barrackville							\$2,500,000		
10	Wellsburg							\$307,661		\$ 307,661
11	Ceredo							\$534,900		\$ 534,900
12	Princeton	\$8,190,000						\$910,000		\$ 9,100,000
13	Moundsville	\$1,532,509			\$504,782	\$103,686	\$103,686	\$1,433,938		
14	Flatwoods - Canoe Run PSD	\$2,865,565				\$2,491,892	\$1,999,109	\$676,434		
	Total Projects	\$17,416,209	\$10,906,939	\$400,000	\$6,858,278	\$2,606,512	\$2,289,856	\$11,208,423		\$ 40,043,129

	SECTION 319 PROJECTS	VIIB	VIID	VIII	Total
1	Stormwater - urban				\$0.00
2	NPS projects (5)	\$261,033.00			\$261,033.00
3	OSLP projects (26) *			\$877,897.75	\$877,897.75
	Total NPS projects	\$261,033.00	\$0.00	\$877,897.75	\$1,138,930.75

- * OSLP (25) = \$151,737.75
- * Lincoln County - \$726,160

EXHIBIT 8

Project that Received Bids in FY2011

WEST VIRGINIA CLEAN WATER STATE REVOLVING FUND
Projects Receiving Bids in FY2011

	<u>Project</u>	<u>County</u>	<u>Bids Opened</u>	<u>Loan Closed</u>	
1	Shady Spring PSD	Raleigh	14-Jul-10	25-Oct-10	FY2011
2	Huntington (13th&19th)	Cabell	19-Aug-10	10-Nov-10	FY2011
3	Shepherdstown	Jefferson	26-Aug-10	22-Nov-10	FY2011
4	Wheeling	Ohio	14-Sep-10	14-Dec-10	FY2011
5	Huntington (ps)	Cabell	2-Sep-10	10-Nov-10	FY2011
6	Barrackville	Marion	16-Sep-10	22-Dec-10	FY2011
7	New Haven	Mason	8-Oct-10	16-Dec-10	FY2011
8	Princeton	Mercer	16-Nov-10	3-Mar-11	FY2011
9	Wellsburg	Brooke	1-Dec-10	24-Feb-11	FY2011
10	Ceredo	Wayne	10-Dec-10	2-Mar-11	FY2011
11	Lincoln County	Lincoln	16-Feb-11	19-Apr-11	FY2011
12	Moundsville	Ohio	15-Mar-11	9-Jun-11	FY2011
13	Flatwoods-Canoe Run	Braxton	31-Mar-11	24-Jun-11	FY2011

EXHIBIT 9

DBE EQUIVALENCY REQUIREMENT

Region III Compliance Tracking for Amount Equal to the CWSRF Capitalization Grants

West Virginia

Cumulative Amounts as of SFY Ending June 30, 2011

State FYE	Capitalization Grant		Equivalency Amount		Over Complied
	Awarded	Cumulative	Annual	Cumulative	
06/30/06	\$37,435,406	\$363,872,475	\$13,502,391	\$486,980,338	\$123,107,863
06/30/07	\$13,650,912	\$377,523,387	\$9,000,000	\$495,980,338	\$118,456,951
06/30/08	\$16,684,470	\$394,207,857	\$5,577,760	\$501,558,098	\$107,350,241
06/30/09	\$10,607,850	\$404,815,707	\$17,329,436	\$518,887,534	\$65,198,147
	\$48,873,680	\$453,689,387			
06/30/10	\$10,607,850	\$464,297,237	\$103,253,083	\$622,140,617	\$145,624,960
	\$12,218,420	\$476,515,657			
06/30/11	\$32,039,929	\$508,555,586	\$3,678,601	\$625,819,218	\$117,263,632

FY2011 Project/Borrower	Project Number	Loan Closed	Amount of Loan AEG	Amount of Loan Non-AEG	Total Amount of Loan
Elkins (decrease)	544380-02		\$0	\$ (510)	\$ (510)
Shady Spring PSD	544424		\$0	\$ 2,422,826	\$ 2,422,826
Huntington	544461		\$0	\$ 2,622,055	\$ 2,622,055
Charles Town (design)	544392		\$0	\$ 1,750,000	\$ 1,750,000
Shepherdstown	544159		\$0	\$ 9,172,697	\$ 9,172,697
Wheeling	544351-02		\$0	\$ 8,356,000	\$ 8,356,000
New Haven	544430		\$0	\$ 3,277,500	\$ 3,277,500
Barrackville	544438		\$0	\$ 2,500,000	\$ 2,500,000
Wellsburg	544362		\$0	\$ 307,661	\$ 307,661
Ceredo	544179		\$0	\$ 534,900	\$ 534,900
Princeton	544281		\$0	\$ 9,100,000	\$ 9,100,000
Lincoln County Commission	547650		\$0	\$726,160	726,160
Moundsville	544025-02		\$3,678,601		\$3,678,601
Flatwoods-Canoe Run PSD	544316		\$0	\$8,033,000	\$8,033,000
Crab Orchard - MacArthur PSD	544437.00			(68,513)	(\$68,513)
					\$0
					\$0
			\$3,678,601	\$48,733,776	\$52,412,377

EXHIBIT 10

DBE CHART

**WEST VIRGINIA DEPARTMENT OF ENVIRONMENTAL PROTECTION
STATE REVOLVING FUND PROGRAM**

Minority-/Women-Owned Business Enterprise Reporting
FY11 (July 1, 2010 - June 30, 2011)

Reporting Periods	Total Procurement	MBE				WBE				Number Reporting
		Construction	Professional	Equipment	Supplies	Construction	Professional	Equipment	Supplies	
1st Jul - Sep	(reports are filed semi-annually --> info for April - Sept 2010 report NOT included on FY10 Annual Report - included here, only) \$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	40
2nd Oct - Dec	\$30,107,197.00	\$0.00	\$12,906.84	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	40
3rd Jan - Mar	\$9,918,635.00	\$0.00	\$892.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	40
4th Apr - May	*	*	*	*	*	*	*	*	*	*
Total %	\$40,025,832.00	\$0.00 0.0000%	\$13,798.84 0.0345%	\$0.00 0.0000%	\$0.00 0.0000%	\$0.00 0.0000%	\$0.00 0.0000%	\$0.00 0.0000%	\$0.00 0.0000%	
				Total MBE	\$13,798.84					
				% MBE	0.0345%					
								Total WBE	\$0.00	
								% WBE	0.0000%	

* Projects are reporting semi-annually; semi-annual report covering period April - September not due until October 2011.